

4069/GETS 5294.2  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Hess, Jr. et al.

Serial No. Not yet assigned

Filed November 20, 2003

Confirmation No. Not yet assigned

For CONTROL AND METHOD FOR OPTIMIZING THE OPERATION OF TWO OR MORE LOCOMOTIVES OF A CONSIST

November 26, 2003

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,

SIR:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the \* duty of disclosure set forth in 37 C.F.R. 1.56, applicants submit the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein. In accordance with the Pre-OG notice of July 11, 2003 partially waiving the requirements of 37 C.F.R. 1.98(a)(2)(i), copies of the U.S. patent documents are not supplied.

Respectfully submitted,



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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

				<i>Complete if Known</i>
Application Number				Not yet assigned
Filing Date				November 20, 2003
Confirmation Number				Not yet assigned
First Named Inventor				Gerald James Hess, Jr.
Group Art Unit				Not yet assigned
Examiner Name				Not yet assigned
Sheet	1	of	1	Attorney Docket No.
				4069/GETS 5294.2

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	1	4,344,364		Nickles et al.	08/17/1982
	2	4,582,280		Nichols et al.	04/15/1986
	3	5,581,472		Miller et al.	12/03/1996
	4	5,787,371		Balukin et al.	07/28/1998

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached..

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